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| Substitute for form 1449/PTO<br><br><b>THIRD SUPPLEMENTAL<br/>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br><i>(Use as many sheets as necessary)</i> |   |    |    | <b>Complete if Known</b> |                      |
|   |   |    |    | Application Number       | 10/074,996           |
|   |   |    |    | Filing Date              | February 12, 2002    |
|   |   |    |    | First Named Inventor     | Chang-Ping Lee       |
|   |   |    |    | Art Unit                 | 2131                 |
|   |   |    |    | Examiner Name            | Christopher A. Revak |
| Sheet   | 1 | of | 12 | Attorney Docket Number   | 2222.5390005         |

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|-----------------------|--------------------------|--|--------------------------------|--|---|
| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> | Document Number                          | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages<br>or Relevant Figures Appear |
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|  |   |    |    | Examiner Name            | REVAK, CHRISTOPHER A. |
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| Sheet  | 5 | of | 12 | Attorney Docket Number   | 2222.5390005          |

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|  |   |    |    | Art Unit                 | 2131                  |
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|  |   |    |    | Examiner Name            | REVAK, CHRISTOPHER A. |
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|  |    |    |    | Art Unit                 | 2131                  |
|  |    |    |    | Examiner Name            | REVAK, CHRISTOPHER A. |
| Sheet  | 10 | of | 12 | Attorney Docket Number   | 2222.5390005          |

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|                                 | NPL2                  | "Affect," The American Heritage Dictionary of the English Language, Fourth Edition, Houghton Mifflin Company, 2002. Retrieved May 4, 2006 from <a href="http://dictionary.reference.com/search?q=affect">http://dictionary.reference.com/search?q=affect</a>   |                |
|                                 | NPL3                  | Microsoft Windows 200 server. Windows 2000 Group Policy White Paper, 2000  |                |
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|                                 | NPL5                  | Crocker, Steven Teye, "Multi-level cryptographic transformations for securing digital assets," U.S. Patent Application No. 10/404,566, filed 03/31/2003.   |                |
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|                                 | NPL9                  | Nath, Satyajit, "Method and system for securing digital assets using content type designations," U.S. Patent Application No. 10/405,587, filed 04/01/2003.   |                |
|                                 | NPL10                 | Prakash, Nalini J., "Method and apparatus for securing/unsecuring files by file crawling," U.S. Patent Application No. 10/325,102, filed 12/20/2002  |                |

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|   |   |    |   | Filing Date              | February 12, 2002     |
|   |   |    |   | First Named Inventor     | Chang-Ping Lee        |
|   |   |    |   | Art Unit                 | 2131                  |
|   |   |    |   | Examiner Name            | REVAK, CHRISTOPHER A. |
| Sheet   | 2 | of | 5 | Attorney Docket Number   | 2222.5390005          |

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|                                 | NPL11                 | Rossmann, Alain, "Hybrid systems for securing digital assets," U.S. Patent Application No. 10/325,013, filed 12/20/2002.  |                |
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|                                 | NPL14                 | U.S. patent application, Ser No. 10/028,397, entitled "Method and system for restricting use of a clipboard application, " inventor Zuili, December 21, 2001, 38 pgs.   |                |
|                                 | NPL15                 | U.S. patent application, Ser No. 10/368,277, entitled "Method and apparatus for uniquely identifying files, " inventor Ouye, February 18, 2003, 25 pgs.   |                |
|                                 | NPL16                 | U.S. patent application, Ser No. 10/327,320, entitled "Security system with staging capabilities " inventor Vainstein, December 20, 2002, 39 pgs.   |                |
|                                 | NPL17                 | U.S. patent application, Ser No. 10/286,524, entitled "Security system that uses indirect password-based encryption, " inventor Gutnik, November 1, 2002, 38 pgs.   |                |
|                                 | NPL18                 | U.S. patent application, Ser No. 10/242,185, entitled "Method and system for protecting encrypted files transmitted over a network " inventor Ryan, September 11, 2002, 33 pgs.   |                |
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|                                 | NPL20                 | U.S. patent application, Ser No. 10/610,832, entitled "Method and system for enabling users of a group shared across multiple file security systems to access secured files " inventor Ryan, June 30, 2003, 33 pgs.                                   |                |

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|                                 | NPL21                 | U.S. patent application, Ser No. 10/448,806, entitled "Method and System for Using Remote Headers to Secure Electronic Files" inventor Ryan, May 30, 2003, 35 pgs.  |                |
|                                 | NPL22                 | U.S. patent application, Ser No. 10/074,194, entitled "Methods for identifying compounds that inhibit or reduce PTP1B expressions" inventor Rondinone, February 12, 2002, 69 pgs.   |                |
|                                 | NPL23                 | U.S. patent application, Ser No. 10/074,804, entitled "Secured Data Format for Access Control," inventor Garcia, February 12, 2002, 108 pgs.  |                |
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|                                 | NPL26                 | U.S. patent application, Ser No. 10/105,532, entitled "System and Method for Providing Different Levels of Key Security for Controlling Access to Secured Items," inventor Hildebrand et al., March 20, 2002, 86 pgs.                                 |                |
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|                                 | NPL31                 | U.S. patent application, Ser No. 10/259,075, entitled "Effectuating Access Policy Changes to Designated Places for Secured Files," inventor Crocker, September 27, 2002, 60 pgs.  |                |
|                                 | NPL32                 | U.S. patent application, Ser No. 10/286,575, entitled "Method and Architecture for Providing Access to Secured Data from Non-Secured Clients," inventor Vainstein, November 1, 2002, 46 pgs.  |                |
|                                 | NPL33                 | U.S. patent application, Ser No. 10/295,363, entitled "Security System Using Indirect Key Generation from Access Rules and Methods Therefor," inventor Vainstein, November 15, 2002, 70 pgs.  |                |
|                                 | NPL34                 | U.S. patent application, Ser No. 11/889,310, entitled "Methods and Systems for Providing Access Control to Electronic Data," inventor Rossmann, August 10, 2007, 90 pgs.  |                |
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|                                 | NPL36                 | Adobe Acrobat 5.0 Classroom in a Book, Adobe Press, 26 June 2001, pp.1-4.   |                |
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|                                 | NPL39                 | Microsoft Press Computer Dictionary, 1997, Microsoft Press, Third Edition, page 426.  |                |
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|                                 | NPL41                    | Search Report, completion date October 2, 2003, for European Patent Application No. EP 02 25 8531, 2 pages.   |                |
|                                 | NPL42                    | Search Report, completion date April 14, 2005, for European Patent Application No. EP 02 25 8533, 2 pages.  |                |
|                                 | NPL43                    | Search Report, completion date March 16, 2005, for European Patent Application No. EP 02 25 8534, 2 pages.  |                |
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